DOCTORAL THESIS

Prognostic impact of HNF4 α expression in interstitial lung

disease

(間質性肺疾患における HNF4 a 発現と予後指標としての有用性)

July, 2023

(2023年7月)

Tomoe Sawazumi

澤住 知枝

Department of Pathology

Yokohama City University Graduate School of Medicine

横浜市立大学医学部 病態病理学

(Deputy Doctoral Supervisor: Satoshi Fujii)

代行指導教員:藤井 誠志 教授

(Supervisor: Koji Okudela, Associate Professor)

研究指導教員:奥寺 康司 准教授

DOI: 10.1111/pin.13176

ORIGINAL ARTICLE



Prognostic impact of HNF4 α expression in interstitial lung disease

Tomoe Sawazumi^{1,2} I Tomohisa Baba⁴ | Tae Iwasawa⁵ | Hiromasa Arai⁶ | Mai Matsumura² I Tamiko Takemura⁷ | Misaki Sugiyama⁷ | Motoki Sekiya⁷ | Yusuke Saigusa³ | Takashi Ogura⁴ | Yoshiaki Inayama¹ | Kenichi Ohashi² | Koji Okudela²

¹Division of Pathology, Yokohama City University Medical Center Hospital, Yokohama, Japan

Accepted: 24 September 2021

²Department of Pathology, Yokohama City University Graduate School of Medicine, Yokohama, Japan

³Department of Biostatistics, Yokohama City University Graduate School of Medicine, Yokohama, Japan

⁴Division of Respiratory Medicine, Kanagawa Cardiovascular and Respiratory Center Hospital, Yokohama, Japan

⁵Division of Radiology, Kanagawa Cardiovascular and Respiratory Center Hospital, Yokohama, Japan

⁶Division of General Thoracic Surgery, Kanagawa Cardiovascular and Respiratory Center Hospital, Yokohama, Japan

⁷Division of Pathology, Kanagawa Cardiovascular and Respiratory Center Hospital, Yokohama, Japan

Correspondence

Koji Okudela, MD, PhD, Department of Pathology, Yokohama City University Graduate School of Medicine, 3-9, Fukuura, Kanazawa-ku 236-0004, Yokohama, Japan. Email: kojixok@yokohama-cu.ac.jp

Funding information JSPS KAKENHI, Grant/Award Numbers:

18K07020, 17K08724; Smoking Research Foundation

Abstract

Pneumocyte injury is a crucial factor influencing the severity of interstitial lung disease (ILD). In this study, we investigated the potential of hepatocyte nuclear factor α (HNF4 α) as an immunohistochemical marker to detect pneumocyte injury and as a prognostic marker. Surgical lung biopsy specimens were collected from 309 patients with different types of ILDs (61 idiopathic pulmonary fibrosis (IPF), 173 non-IPF, and 75 unclassifiable ILD). HNF4 α expression were examined and the frequency of positive cells (per mm²) was calculated. HNF4 α was strongly expressed in regenerating pneumocytes present on fibroblastic foci, Masson bodies/organizing alveoli. In the non-IPF and unclassifiable ILD groups, cases with high frequency expression showed significantly poorer outcome. Particularly, in the unclassifiable ILD group, the prognostic impact was more significant (death due to ILD, log-rank test, p < 0.0001), with a 10-year survival rate (hazard ratio 11.1, Wald test, p = 0.0003), as compared to the non-IPF group (log-rank test, p = 0.0269; hazard ratio 2.7, Wald test, p = 0.0334). Multivariable analysis focusing on the unclassifiable ILD group confirmed that the frequent HNF4a expression was an independent prognostic factor (hazard ratio 28.6; Wald test, p = 0.0033). Thus, HNF4 α can be utilized as an immunohistochemical marker for pneumocyte injury and have prognostic impact particularly in unclassifiable ILD.

KEYWORDS

HNF4a, interstitial lung disease, prognosis

Abbreviations: ALAT, Latin American Thoracic Association; ATS, American Thoracic Society; DLco, diffusing capacity of carbon monoxide; ERS, European Respiratory Society; FVC, forced vital capacity; JRS, Japanese Respiratory Society; KL-6, Krebs von den Lungen-6; PaO₂, partial pressure of arterial oxygen; PFT, pulmonary function test; SP-D, surfactant protein-D.

© 2021 Japanese Society of Pathology and John Wiley & Sons Australia, Ltd

25

INTRODUCTION

Interstitial lung disease (ILD) is an umbrella term for a large group of disorders that affect the alveolar septa, causing the airspaces to collapse, and they sometimes progress to honeycomb lesions. ILDs may be associated with other diseases or environmental exposures: for example, connective tissue disease-related ILD (CTD-ILD) and hypersensitivity pneumonia (HP). However, most ILDs are diseases of unknown causes (idiopathic interstitial pneumonia [IIP]).^{1,2} The prognosis of patients depends on the disease type.¹⁻⁴ According to the current American Thoracic Society (ATS)/European Respiratory Society (ERS), IIPs are categorized as major IIPs, rare IIPs, and unclassifiable IIPs.⁴ The major IIPs include three groups consisting of two disease types each; chronic fibrosing IIP (idiopathic pulmonary fibrosis [IPF], nonspecific interstitial pneumonia [NSIP]), smokingrelated IIP (respiratory bronchiolitis-interstitial lung disease [RB-ILD]), desquamative interstitial pneumonia (DIP), and acute/subacute IIP (cryptogenic organizing pneumonia [COP]), and acute interstitial pneumonia (AIP). The rare IIPs include idiopathic lymphoid interstitial pneumonia (LIP) and idiopathic pleuroparenchymal fibroelastosis (PPFE).⁴ The unclassifiable IIPs are ones that cannot be specifically classified even through multidisciplinary discussion (MDD) according to standard criteria.⁵ A recent meta-analytical study indicated that there could be considerable heterogeneity in the unclassifiable IIPs because of the lack of diagnostic standardization.6

Surgical lung biopsy (SLB) is recommended for patients with atypical clinical features and radiological findings.^{7,8} Histopathologically, disease subtypes are determined based on levels of inflammatory infiltrates and spatial/temporal patterns of active fibrosis, such as fibroblastic foci (young fibroblastic proliferation on collapsed alveoli at the periphery of the lobules) and Masson bodies/organizing alveoli (young fibroblastic proliferation into the alveolar space or on the intralobular alveolar septa). However, these pathological changes are nonspecific and often cause interobserver discrepancies.⁹ Reliable immunohistochemical markers are necessary to improve the diagnostic reproducibility.

Hepatocyte nuclear factor 4α (HNF4 α) was initially identified as a transcription factor that induces hepatocyte and enterocyte differentiation.^{10,11} It is generally used as a molecular biological marker for mucinous and enteric subtypes of lung adenocarcinomas.¹² During a series of our recent studies on ILD-associated lung adenocarcinomas,^{13,14} we noted that regenerating pneumocytes lying on either fibroblastic foci and Masson bodies/ organizing alveoli in the background of non-tumorous lung strongly expressed HNF4 α . HNF4 α was also reported as weakly expressed in metaplastic epithelia in peri-bronchiolar areas and honeycomb lesions,¹⁴ suggesting HNF4 α -positive cells could proliferate in the damaged foci, and eventually produce bronchiolar metaplasia. HNF4 α could play important roles in tissue remodeling, such as bronchiolization and honeycombing.

Thus, we focused on HNF4 α and investigated its potential as an immunohistochemical marker to detect pneumocyte injury and as a prognostic marker.

MATERIALS AND METHODS

Patients and lung tissues

A total of 309 patients with ILD who underwent SLB at the Kanagawa Cardiovascular and Respiratory Center (Yokohama, Japan) between January 2008 and May 2019 were examined. The patients did not receive any treatment for ILD before undergoing a biopsy. The median follow-up period was 1650 days (range, 6-4422 days). During the follow-up period, 67 patients died of ILD-related respiratory failure (47), lung cancer (6), other cancers (2), infectious diseases (5), right ventricular failure (2), transplant rejection (1) and other unspecified causes (4). Informed consent for the use of the resected materials for research purposes was obtained from all patients. The Ethics Committees of Yokohama City University (A180726009) and Kanagawa Prefectural Cardiovascular Respiratory Center Hospital (KCRC-17-0015) approved this study.

Conventional histopathological examination

Lung tissues were fixed with 10% buffered formaldehyde solution for approximately 24 h and embedded in paraffin wax. Sections were cut from tissues and stained with hematoxylin and eosin, Elastica van Gieson, and Alcian blue periodic acid Schiff. We determined pathological categories: UIP-definite, UIP-probable, UIP-indeterminate, and alternative groups, according to the official ATS/ERS/ Japanese Respiratory Society (JRS)/Latin American Thoracic Association (ALAT) 2018 clinical practice guidelines.⁸

Disease subtyping

Final diagnoses were reached through MDD based on clinical, radiological, and pathological findings, where three pathologists, two radiologists, and three respirologists participated, and included 61 cases of IPF, 173 of non-IPF, and 75 of unclassifiable ILDs (i.e. a meaning equal to unclassifiable IIPs). The proportion of disease type was comparable to that in a recently published study.^{6,15–17} Furthermore, the non-IPF cases were classified into 48 cases of CTD-ILD, 60 of HP, 55

TABLE 1 Baseline characteristics of the patients



-					
	Subjects	IPF (61)	Non-IPF (173)	Unclass (75)	p-value
Age					
	<65 y/o	34.4 (21)	51.5 (89)	38.7 (29)	0.0331
	≥65 y/o	65.6 (40)	48.5 (84)	61.3 (46)	
Sex					
	Male	78.7 (48)	51.5 (89)	60.0 (45)	0.0008
	Female	21.3 (13)	48.5 (84)	40.0 (30)	
Smoking status					
	Non/light (<40 PYI)	55.7 (34)	84.5 (142)	73.3 (55)	<0.0001
	Heavy (≥40 PYI)	44.3 (27)	15.5 (26)	26.7 (20)	
Laboratory findings					
	SP-D < 110 ng/ml	18.0 (11)	22.5 (39)	18.7 (24)	0.7386
	SP-D ≥ 110 ng/ml	82.0 (50)	77.5 (134)	81.3 (61)	
	KL-6 < 500 U/ml	18.0 (11)	10.0 (17)	13.3 (10)	0.2265
	KL-6 ≥ 500 U/ml	82.0 (50)	90.0 (156)	86.7 (65)	
PFT					
	%FVC≥80	59.0 (36)	56.5 (96)	53.3 (40)	0.7951
	%FVC < 80	41.0 (25)	43.5 (74)	46.7 (35)	
	%DLco ≥80	50.8 (31)	41.8 (72)	41.3 (31)	0.4428
	%DLco <80 or cannot perform	49.2 (30)	58.2 (100)	4 (44)	

Notes: % and number of cases () is indicated; the total number does not equal to 309, regarding the smoking status, PFT, and SP-D level, because there were some cases that could not be evaluated.

Abbreviations: DLco, diffusing capacity of carbon monoxide; FVC, forced vital capacity; ILD, interstitial lung disease; IPF, idiopathic pulmonary fibrosis; KL-6, Krebs von den Lungen-6; PFT, pulmonary function test; PYI, pack-year index; SP-D, surfactant protein-D; Unclass, unclassifiable ILD; y/o, years old at biopsy. *p* values were calculated using Fisher's exact test or the Wilcoxon test among the three groups.

of idiopathic NSIP, five of idiopathic DIP, and five PPFE. The CTDs included eight cases of rheumatoid arthritis, 10 of systemic sclerosis, nine of Sjogren's syndrome, six of polymyositis/dermatomyositis, four of mixed connective tissue disease, and 11 of anti-neutrophil cytoplasmic antigen-related disease.^{18–23}

with horseradish peroxidase-labeled anti-mouse immunoglobulin secondary antibody. Immunoreactivity was visualized using diaminobenzidine as the substrate, and the nuclei were lightly counterstained with hematoxylin.

Immunohistochemistry

Immunohistochemistry was performed using a Histostainer system (Nichirei). Briefly, tissue sections were incubated with the blocking solution to block endogenous peroxidase activity and non-immunospecific protein binding, and the blocked tissue sections were then boiled in antigen retrieval buffer (heat processor solution pH 9.0). The sections were incubated with primary antibodies against HNF4 α (mouse monoclonal antibody clone H6939; Perseus Proteomics Inc.) or thyroid transcription factor-1 (TTF-1) (mouse monoclonal antibody clone 8G7G3/1; DAKO), followed by incubation

Morphometry

Glass slides were scanned using a virtual slide system (NanoZoomer slide scanner; Hamamatsu Photonics). Morphometric analyses were performed using the freely published software Qu-Path (version 0.1.2, OS Windows 10).^{24,25}

Statistical analysis

Significant differences in the frequency of HNF4 α positive cells (or relationships with HNF4 α expression levels) among various disease categories and patients were analyzed using the Wilcoxon/Kruskal–Wallis test

SAWAZUMI ET AL.

(or the χ^2 test). Patient survival was analyzed using Kaplan-Meier curves and the log-rank test. A Cox proportional hazards model for survival was used to assess the utility of HNF4α. Predictive factors were selected based on Uno's concordance index. Hazard ratios and 95% confidence intervals were calculated using Cox's proportional hazards model, and the Wald test was also performed. Schoenfeld residuals were plotted to assess the proportional hazard assumption for HNF4 α in the Cox model. Statistical significance was set at p < 0.05. Statistical analyses were conducted using JMP (version 15.0; SAS Institute Inc.) and R (version 3.5.1; R Foundation for Statistical Computing).

RESULTS

Baseline characteristics

The clinical characteristics and laboratory findings of patients with IPF, non-IPF, and unclassifiable ILD are shown in Table 1. Age, sex, and smoking status differed significantly among the three groups. Patients in the IPF and unclassifiable groups were older and predominantly male compared to those in the non-IPF group. The IPF group included more smokers than the other two groups. No significant differences were noted in the laboratory findings and respiratory function among the three groups.

Immunohistochemical expression of HNF4 α in ILD lesions

HNF4 α was strongly expressed in the cells present on fibroblastic foci (Figure 1) and Masson bodies/organizing alveoli (Figure S1). HNF4 α -expressing cells typically co-expressed TTF-1 (Figures 1, S1, and S2), suggesting that they could originate from pneumocytes. There was a significant correlation between the HNF4a-positive cell frequency and young fibroblastic proliferation (Figure S3).

Precise measurement of HNF4 α -positive cell frequency

HNF4a-positive cells were automatically counted using morphometric software (Qu-Path).^{24,25} Regenerating pneumocytes lying on fibroblastic foci and Masson bodies/organizing alveoli strongly expressed HNF4 α , while metaplastic epithelial cells also weakly expressed HNF4 α . Here, we set a threshold to exclusively detect strong signals (Figure S4). The frequency of HNF4 α positive cells was determined as positive cells/mm² (Figure S5) and was separately calculated for the upper and lower lobes.

Relationship between HNF4 α -positive cell frequency and disease category

We analyzed the relationships between the HNF4 α positive cell frequency and disease category. Among the pathological categories, the UIP-indeterminate and alternative groups showed a higher frequency of HNF4 α -positive cells (Figure 2). While, among the disease subtypes determined through MDD (final diagnoses), the NSIP group, HP, and unclassifiable ILD groups showed higher frequencies (Figure 2). The CTD-ILD group showed an intermediate frequency (Figure 2). The IPF group showed lower frequencies of HNF4 α -positive cells (Figure 2). The PPFE and DIP cases varied in frequency (Figure 2). Among the CTD subtypes, no significant differences were observed in frequency (Figure 2). The results are summarized in Table S1. The frequencies of HNF4 α -positive cells were generally higher and varied in the upper lobes than the lower lobes (Figure S6)

Relationship between HNF4 α levels and patient outcomes

We determined the cutoff values according to receiver operating characteristic curves describing the relationship between the frequency of HNF4αpositive cells and outcomes (death due to ILD-related respiratory failure). Differences in survival duration and 10-year survival rates from the time of SLB between the low and high expression groups were separately analyzed in the whole, IPF, non-IPF, and unclassifiable ILD groups. In addition, the analyses were performed separately for the upper and lower lobes. In the analyses of the upper lobes, the high expression group showed significantly shorter survival and lower 10-year survival rate in the whole, non-IPF, and unclassifiable-ILD groups but not in the IPF group (Figure 3; Table 2). In the analyses of the lower lobes, a significant difference was observed only in the unclassifiable ILD group (Figure S7). Thus, HNF4a-positive cell frequency from upper lobe suggested to be more prognostic. We thereafter focused on HNF4α-positive cell frequency from upper lobe to analyze its relationship to survival in different subtypes of the non-IPF group. The PPFE group only showed a slight difference in survival duration, although the number of cases examined may have been too small (Figure S8).

Furthermore, we also analyzed the relationship between HNF4a expression levels and acute exacerbation (AE). No significant correlations were observed (Figure S9).

The survival durations in the IPF, non-IPF, and unclassifiable-ILD groups examined in the present study were similar to those generally reported,²⁶⁻³¹



FIGURE 1 Histological appearance and immunohistochemical expression of hepatocyte nuclear factor α (HNF4 α) in a usual interstitial pneumonia (UIP) lesion are shown. (a) Scanning view of a hematoxylin and eosin (HE)-stained section (×40). Collapsed lesions are seen at the lobular periphery, where fibroblastic foci (FF; one of them is circled with a dotted line) are scattered. (b) A closer view of the FF circled with the dotted line in panel A (HE, ×400). Proliferation of young fibroblastic cells to form a focus, on which regenerating epithelial cells are spreading. (c) A closer view of the FF marked with the dotted line circle in panel (a) (Alcian blue and PAS, x400). Young fibroblastic cells were stained light blue and are well visualized. (d) HNF4 α is strongly expressed in some of the regenerating epithelial cells (arrows) in the FF (immunohistochemistry, ×400). (e) TTF-1 is weakly expressed in some of the regenerating epithelial cells (arrows) in the FF (immunohistochemistry, ×400)

which confirmed that our series of cases was appropriate for survival analysis (Figure S10).

Independent prognostic value of HNF4 α level in unclassifiable ILD

We also analyzed the generally known prognostic factors of ILD in relation to patient outcomes in the unclassifiable ILD group.^{8,32} Univariate analysis showed that in addition to a high HNF4 α , low partial pressure of arterial oxygen (PaO₂) and low forced vital capacity (FVC) % predicted were negative prognostic factors, as patients with these factors had significantly lower 10-year survival rates (Table S2).

We then selected FVC % predicted from the putative prognostic factors (PaO₂, FVC % predicted, diffusing capacity of carbon monoxide (DLco) % predicted, and

Krebs von den Lungen-6 [KL-6])^{1,5,8} according to the concordance index (the details are not shown). Schoenfeld residuals suggested that the proportional hazard assumption for HNF4 α was appropriate (not shown). Multivariable analysis confirmed that a high HNF4 α level (≥4.0) was an independent prognostic factor with an estimated hazard ratio of 28.6 (95% confidence interval, 3.1–268.5) (Table 3).

Histopathological features in unclassifiable ILDs with a high HNF4 α level

We were interested in the histopathological appearance of the unclassifiable ILDs with high HNF4 α levels. Most patients showed frequent alveolar collapse and numerous fibroblastic foci, where fibrin exudate or



FIGURE 2 Relationships between frequency of HNF4 α -positive cells and disease subtypes in different levels (a and b; pathological category; c and d, final diagnosis through MDD; e and f, types of CTD) are shown separately in each lobe (a, c, e, upper lobe; b, d, f, lower lobe). HNF4 α -positive cell frequencies from individual cases were plotted. The colors of the data dots were compatible among the different levels of disease classifications. The colors to discriminate the disease types in the final diagnosis are a standard set. The horizontal lines indicate mean values. Differences were analyzed using the Wilcoxon/Kruskal–Wallis rank-sum test. *p* values are indicated. ANC, antineutrophil cytoplasmic antibody (ANCA)-related disease; Alt, alternative lesion; CTD, connective tissue disease-related interstitial pneumonia; Def, UIP-definite; HNF4 α , hepatocyte nuclear factor α HP, Hypersensitivity pneumonitis; IDI, idiopathic desquamative IP; ILD, interstitial lung disease; INS, idiopathic NSIP; Ind, UIP-indeterminate; MCTD, mixed connective tissue disease; MDD, multidisciplinary discussion; NC, normal control (lung tissues without any pathological changes from lung cancer patients who under underwent lobectomy); PDM, polymyositis/dermatomyositis (PM/DM); PFE, pleuroparenchymal fibroelastosis (PPFE); pro, UIP-probable; RA, rheumatoid arthritis; SJS, Sjogren syndrome; SSC, systemic sclerosis; Unc, unclassifiable ILD

hemosiderin deposition was almost never seen, or quite focal if there was. The temporal phase of the lesions seemed almost uniform, and the spatial distribution was diffuse or random (Figure 4). Thus, these patients were suggested to have severe pneumocyte injury and progressive fibrosis. In contrast, the unclassifiable ILDs with low HNF4 α levels significantly varied in histopathological appearance, as they showed combined features of a couple or more subtypes where fibroblastic foci and Masson bodies/organizing alveoli were seen only scantly (Figure S11). Unclassifiable ILD remains miscellaneous. We divided the unclassifiable ILD cases into two groups, according to the pathological criteria:8 UIP-definite and UIPprobable versus UIP-indeterminate and alternative. HNF4a expression levels were not significantly different between the two groups. Conversely, in both groups, the cases with high expression levels of HNF4 α showed poorer survival (Figure S12).

DISCUSSION

We demonstrated that HNF4 α was strongly expressed in regenerating pneumocytes lying on fibroblastic foci and Masson bodies/organizing alveoli, where HNF4 α and TTF-1 were co-expressed. TTF-1 is a master regulator of pneumocyte differentiation and has been reported to negatively regulate HNF4 α expression and to further suppress differentiation of gastric and intestinal cell lineages.^{33,34} Thus, the observed HNF4 α / TTF-1-co-expression might indicate a unique/specific dedifferentiation status and the double positive pneumocytes could play a distinctive role in tissue repair and remodeling in ILD. Therefore, it is of great interest to investigate their biological characteristics.

We also showed the potential prognostic utility of HNF4 α levels, particularly in non-IPF and unclassifiable ILD groups. Strong HNF4 α expression was specific to regenerating pneumocytes (epithelial cells) and was



FIGURE 3 Relationship between the hepatocyte nuclear factor α (HNF4 α) level in the upper lobe and patient outcome. Kaplan–Meier survival curves for the high and low expression groups in the whole (a), idiopathic pulmonary fibrosis (IPF) (b), non-IPF (c), and unclassifiable interstitial lung disease (ILD) groups (d) are shown. Cutoff values (CF) are determined based on HNF4 α -positive cell frequencies from the upper lobe: 2.7/mm² in the whole group, 0.5/mm² in the IPF group, 1.3/mm² in the non-IPF group, and 4.0/mm² in the unclassifiable ILD group. The differences were analyzed using the log-rank test

TABLE 2	Relationships betwe	een the HNF4α	level and patient
outcomes am	ong the different dis	sease categories	6

Groups	10-year SR (%)	Hazard ratio	95% CI	<i>p</i> -value		
Whole—CF 2.7-						
Low (254)	68.4	Ref.	Ref.	0.0023		
High (55)	40.4	2.6	1.4–4.8			
IPFCF 0.5						
Low (26)	62.4	Ref.	Ref.	0.3848		
High (35)	31.5	1.5	0.6–4.1			
Non-IPF—CF 1.3-						
Low (104)	75.5	Ref.	Ref.	0.0334		
High (69)	52.4	2.7	1.1–6.7			
Unclass—CF 4.0-						
Low (67)	77.7	Ref.	Ref.	0.0003		
High (8)	0.0	11.1	3.0-41.2			

Abbreviations: CF, cutoff value; CI, confidence interval; HNF4 α , hepatocyte nuclear factor α ; ILD, interstitial lung disease; IPF, idiopathic pulmonary fibrosis; Ref., reference; SR, survival rate (estimated survival rate calculated from Kaplan–Meier survival curves); Unclass, unclassifiable ILD. p, significant level from the Wald test.

Subjects	Hazard ratio	95% CI	p-value
$HNF4\alpha$ level			
<4.0 (67)	Ref.	Ref.	0.0033
≥4.0 (8)	28.6	3.1–268.5	
FVC, %predicted			
≥80 (40)	Ref.	Ref.	0.0082
<80 (35)	29.7	2.4–366.5	

Note: p, significance level from the Wald test.

Abbreviations: CI, confidence interval; FVC, forced vital capacity; HNF4 α , hepatocyte nuclear factor α ; ILD, ILD, Interstitial lung disease; Ref., reference.

never detected in mesenchymal cells. Because pneumocyte injury is a critical factor for assessing the severity of ILD,^{34,35} this is an important benefit. To our knowledge, fibroblastic foci have been the only prognostic marker for ILD in SLB examinations.³⁶ A previous study manually measured the number and area of fibroblastic foci using morphometric software and demonstrated a correlation with the

31

-WILEY-Pathology_

32



FIGURE 4 Histological appearance in a representative case of high-expression unclassifiable interstitial lung disease (ILD) is shown. (a) A scanning view of a hematoxylin and eosin (HE)-stained section (×40). Severe alveolar collapse with a larger number of fibroblastic foci (arrows) is seen. (b) A closer view of the area circled with the dotted line in panel (a) (HE, ×200). (c) Young fibroblastic cells proliferate to form a focus, on which regenerating epithelial cells are spreading (Alcian blue and PAS, ×200). (d) A high number of hepatocyte nuclear factor α (HNF4 α)-positive regenerating epithelial cells cells can be seen on the fibroblastic foci (immunohistochemistry, ×200)

outcomes of patients with IPF.^{37,38} Performing accurate measurements is often difficult as fibroblastic foci sometimes have ill-defined borders and have different appearances depending on the stage of scarring.³⁹ Immunohistochemical signals are more objective and quantitative and could be used to overcome conventional limitations. Moreover, positive nuclear signals are easily countable and may be automatically counted in the entire desired sections on virtual side glasses using public morphometric software.^{24,25} This is sufficiently easy and guick for routine clinical use. Thus, we expect that the HNF4 α immunohistochemical level could be a biological marker, not only for predicting outcomes but also for determining the adaptation of antifibrotic molecular targeting agents, such as pirfenidone and nintedanib.3

In the present study, another notable finding was that the unclassifiable ILDs could include the potential subgroup showing significantly poorer outcome (that can be separated by using HNF4 α level). We considered that an essential pathobiological event in the worst subgroup of unclassifiable ILD could be severe pneumocyte injury and progressive fibrosis. Future studies focusing on this subgroup may lead to the proposal of a novel disease category.

On the other hand, unexpectedly, the IPF group showed lower frequencies of HNF4 α -positive cells. The severity of pneumocyte injury is a crucial factor influencing the outcomes of ILD, but its spatial distribution may also be important. Actually, fibroblastic foci in IPF appear in the periphery of lobules, in contrast to Masson bodies/organizing alveoli in the other subtypes, which scatter intralobularly. In IPF, pneumocyte injury may be concentrated at the periphery of lobules, followed by wound healing responses leading to alveolar collapse and dense collagen deposition, which could result in more severe restrictive respiratory impairment than other subtypes.⁴⁰ Thus, the potential causes and pathogenesis of IPF may differ from those of other ILDs.⁴¹ Moreover, IPF is temporally or spatially heterogeneous. Pneumocyte injury observed in a one-time/ one-site biopsy may be focal. This could be a possible explanation for the discrepancy that the HNF4 α level in the IPF group was rather lower.

Recent advances in molecular biology have uncovered precise diagnostic approaches and prognostic biological markers for ILD, such as signatures of exosome miRNAs⁴² and serum antigens.⁴³ However, they are currently not available for routine use because of their associated costs and technical difficulties. We still need to obtain as much information as possible from biopsy specimens, and thus, reliable immunohistochemical markers are required. Here, we demonstrated that HNF4 α has prognostic impact.

ACKNOWLEDGMENTS

This work was supported by JSPS KAKENHI Grant Number 17K08724, 18K07020 and by a grant from the Smoking Research Foundation (Tokyo, Japan). We particularly thank Takehisa Suzuki and Hideaki Mitsui (Department of Pathology, Yokohama City University Graduate School of Medicine) for their technical assistance.

CONFLICT OF INTERESTS

None declared.

AUTHOR CONTRIBUTIONS

The contribution of each author was as follows: Tomoe Sawazumi wrote most parts of the manuscript; Tomohisa Baba, Tae Iwasawa, and Hiromasa Arai collected the information of the patients and compiled the clinical database; Koji Okudela, Yoshiaki Inayama, Kenichi Ohashi, and Takashi Ogura designed this study and suggested the content of the manuscript; Koji Okudela, Mai Matsumura, and Tamiko Takemura contributed to the review for pathological diagnosis; Yusuke Saigusa conducted the statistical analysis; Misaki Sugiyama and Motoki Sekiya carried out the immunohistochemical analysis.

REFERENCES

- Kolb M, Vasakova M. The natural history of progressive fibrosing interstitial lung diseases. Respir Res. 2019;20:57.
- Cottin V, Hirani NA, Hotchkin DL, Nambiar AM, Ogura T, Otaola M, et al. Presentation, diagnosis and clinical course of the spectrum of progressive-fibrosing interstitial lung diseases. Eur Respir Rev. 2018;27.
- Cottin V. Treatment of progressive fibrosing interstitial lung diseases: a milestone in the management of interstitial lung diseases. Eur Respir Rev. 2019;28:190109.
- Travis WD, Costabel U, Hansell DM, King TE, Lynch DA, Nicholson AG, et al. An official American Thoracic Society/ European Respiratory Society statement: update of the international multidisciplinary classification of the idiopathic interstitial pneumonias. Am J Respir Crit Care Med. 2013;188: 733–48.
- Ryerson CJ, Urbania TH, Richeldi L, Mooney JJ, Lee JS, Jones KD, et al. Prevalence and prognosis of unclassifiable interstitial lung disease. Eur Respir J. 2013;42:750–7.
- Guler SA, Ellison K, Algamdi M, Collard HR, Ryerson CJ. Heterogeneity in unclassifiable interstitial lung disease. a systematic review and meta-analysis. Ann Am Thorac Soc. 2018; 15:854–63.
- Raghu G, Collard HR, Egan JJ, Martinez FJ, Behr J, Brown KK, et al. An official ATS/ERS/JRS/ALAT statement: idiopathic pulmonary fibrosis: evidence-based guidelines for diagnosis and management. Am J Respir Crit Care Med. 2011;183: 788–824.
- Raghu G, Remy-Jardin M, Myers JL, Richeldi L, Ryerson CJ, Lederer DJ, et al. Diagnosis of idiopathic pulmonary fibrosis. An Official ATS/ERS/JRS/ALAT clinical practice guideline. Am J Respir Crit Care Med. 2018;198:e44–68.
- De Sadeleer LJ, Meert C, Yserbyt J, Slabbynck H, Verschakelen JA, Verbeken EK, et al. Diagnostic ability of a dynamic multidisciplinary discussion in interstitial lung diseases: a retrospective observational study of 938 cases. Chest. 2018; 153:1416–23.
- Li J, Ning G, Duncan SA. Mammalian hepatocyte differentiation requires the transcription factor HNF-4alpha. Genes Dev. 2000; 14:464–74.
- Stegmann A, Hansen M, Wang Y, Larsen JB, Lund LR, Ritié L, et al. Metabolome, transcriptome, and bioinformatic cis-element analyses point to HNF-4 as a central regulator of gene expression during enterocyte differentiation. Physiol Genomics. 2006;27:141–55.
- 12. Kunii R, Jiang S, Hasegawa G, Yamamoto T, Umezu H, Watanabe T, et al. The predominant expression of hepatocyte

nuclear factor 4alpha (HNF4alpha) in thyroid transcription factor-1 (TTF-1)-negative pulmonary adenocarcinoma. Histopathology. 2011;58:467–76.

Pathology_WILEY

- Kojima Y, Okudela K, Matsumura M, Omori T, Baba T, Sekine A, et al. The pathological features of idiopathic interstitial pneumoniaassociated pulmonary adenocarcinomas. Histopathology. 2017;70: 568–78.
- Okudela K, Arai H, Kitamura H, Baba T, Mitsui H, Suzuki T, et al. A subpopulation of airway epithelial cells that express hepatocyte nuclear factor 4alpha - its implication in the development of non-terminal respiratory unit-type lung adenocarcinoma. Histol Histopathol. 2019;34:1217–27.
- Fisher JH, Kolb M, Algamdi M, Morisset J, Johannson KA, Shapera S, et al. Baseline characteristics and comorbidities in the CAnadian REgistry for pulmonary fibrosis. BMC Pulm Med. 2019;19:223.
- Suzuki A, Kondoh Y, Brown KK, Johkoh T, Kataoka K, Fukuoka J, et al. Acute exacerbations of fibrotic interstitial lung diseases. Respirology. 2020;25:525–34.
- Hyldgaard C, Bendstrup E, Wells AU, Hilberg O. Unclassifiable interstitial lung diseases: clinical characteristics and survival. Respirology. 2017;22:494–500.
- Aletaha D, Neogi T, Silman AJ, Funovits J, Felson DT, Bingham CO, et al. 2010 Rheumatoid arthritis classification criteria: an American College of Rheumatology/European League Against Rheumatism collaborative initiative. Arthritis Rheum. 2010;62:2569–81.
- Fujibayashi T, Sugai S, Miyasaka N, Hayashi Y, Tsubota K. Revised Japanese criteria for Sjogren's syndrome (1999): availability and validity. Mod Rheumatol. 2004;14:425–34.
- Kohsaka H, Mimori T, Kanda T, Shimizu J, Sunada Y, Fujimoto M, et al. Treatment consensus for management of polymyositis and dermatomyositis among rheumatologists, neurologists and dermatologists. Mod Rheumatol. 2019;29: 1–19.
- 21. Sada KE, Yamamura M, Harigai M, Fujii T, Dobashi H, Takasaki Y, et al. Classification and characteristics of Japanese patients with antineutrophil cytoplasmic antibody-associated vasculitis in a nationwide, prospective, inception cohort study. Arthritis Res Ther. 2014;16:R101.
- Tanaka Y, Kuwana M, Fujii T, Kameda H, Muro Y, Fujio K, et al. 2019 diagnostic criteria for mixed connective tissue disease (MCTD): from the Japan Research Committee of the Ministry of Health, Labor, and Welfare for Systemic Autoimmune Diseases. Mod Rheumatol. 2021;31:29–33.
- van den Hoogen F, Khanna D, Fransen J, Johnson SR, Baron M, Tyndall A, et al. 2013 classification criteria for systemic sclerosis: an American College of Rheumatology/European League against rheumatism collaborative initiative. Arthritis Rheum. 2013;65:2737–47.
- Bankhead P, Loughrey MB, Fernández JA, Dombrowski Y, McArt DG, Dunne PD, et al. QuPath: open source software for digital pathology image analysis. Sci Rep. 2017;7:16878.
- Loughrey MB, Bankhead P, Coleman HG, Hagan RS, Craig S, McCorry A, et al. Validation of the systematic scoring of immunohistochemically stained tumour tissue microarrays using QuPath digital image analysis. Histopathology. 2018;73: 327–38.
- Kim ES, Choi SM, Lee J, Park YS, Lee CH, Yim JJ, et al. Validation of the GAP Score in Korean patients with idiopathic pulmonary fibrosis. Chest. 2015;147:430–7.
- Kondoh S, Chiba H, Nishikiori H, Umeda Y, Kuronuma K, Otsuka M, et al. Validation of the Japanese disease severity classification and the GAP model in Japanese patients with idiopathic pulmonary fibrosis. Respir Investig. 2016;54:327–33.
- Kondoh Y, Taniguchi H, Kataoka K, Furukawa T, Ando M, Murotani K, et al. Disease severity staging system for idiopathic pulmonary fibrosis in Japan. Respirology. 2017;22:1609–14.

33

⊥_WILEY-Pathology

34

- Natsuizaka M, Chiba H, Kuronuma K, Otsuka M, Kudo K, Mori M, et al. Epidemiologic survey of Japanese patients with idiopathic pulmonary fibrosis and investigation of ethnic differences. Am J Resp Crit Care. 2014;190:773–9.
- Ley B, Ryerson CJ, Vittinghoff E, Ryu JH, Tomassetti S, Lee JS, et al. A multidimensional index and staging system for idiopathic pulmonary fibrosis. Ann Intern Med. 2012;156:684–91.
- Taniguchi H, Kondoh Y. Acute and subacute idiopathic interstitial pneumonias. Respirology. 2016;21:810–20.
- Nakamura Y, Sugino K, Kitani M, Hebisawa A, Tochigi N, Homma S. Clinico-radio-pathological characteristics of unclassifiable idiopathic interstitial pneumonias. Respir Investig. 2018;56:40–7.
- Snyder EL, Watanabe H, Magendantz M, Hoersch S, Chen TA, Wang DG, et al. Nkx2-1 represses a latent gastric differentiation program in lung adenocarcinoma. Mol Cell. 2013;50:185–99.
- Ishikawa N, Hattori N, Yokoyama A, Kohno N. Utility of KL-6/ MUC1 in the clinical management of interstitial lung diseases. Respir Investig. 2012;50:3–13.
- Kohno N, Kyoizumi S, Awaya Y, Fukuhara H, Yamakido M, Akiyama M. New serum indicator of interstitial pneumonitis activity. Sialylated carbohydrate antigen KL-6. Chest. 1989;96:68–73.
- Nicholson AG, Fulford LG, Colby TV, du Bois RM, Hansell DM, Wells AU. The relationship between individual histologic features and disease progression in idiopathic pulmonary fibrosis. Am J Respir Crit Care Med. 2002;166:173–7.
- Enomoto N, Suda T, Kato M, Kaida Y, Nakamura Y, Imokawa S, et al. Quantitative analysis of fibroblastic foci in usual interstitial pneumonia. Chest. 2006;130:22–9.
- Harada T, Watanabe K, Nabeshima K, Hamasaki M, Iwasaki H. Prognostic significance of fibroblastic foci in usual interstitial pneumonia and non-specific interstitial pneumonia. Respirology. 2013;18:278–83.

- Katzenstein AL, Zisman DA, Litzky LA, Nguyen BT, Kotloff RM. Usual interstitial pneumonia: histologic study of biopsy and explant specimens. Am J Surg Pathol. 2002;26: 1567–77.
- Ptasinski V, Stegmayr J, Belvisi MG, Wagner DE, Murray LA. Targeting alveolar repair in idiopathic pulmonary fibrosis. Am J Respir Cell Mol Biol. 2021;65(4):347–365.
- Katzenstein AL, Mukhopadhyay S, Myers JL. Diagnosis of usual interstitial pneumonia and distinction from other fibrosing interstitial lung diseases. Hum Pathol. 2008;39:1275–94.
- Njock MS, Guiot J, Henket MA, Nivelles O, Thiry M, Dequiedt F, et al. Sputum exosomes: promising biomarkers for idiopathic pulmonary fibrosis. Thorax. 2019;74:309–12.
- Okamoto M, Hoshino T, Kitasato Y, Sakazaki Y, Kawayama T, Fujimoto K, et al. Periostin, a matrix protein, is a novel biomarker for idiopathic interstitial pneumonias. Eur Respir J. 2011;37:1119–27.

SUPPORTING INFORMATION

Additional supporting information may be found in the online version of the article at the publisher's website.

How to cite this article: Sawazumi T, Baba T, Iwasawa T, Arai H, Matsumura M, Takemura T, et al. Prognostic impact of HNF4 α expression in interstitial lung disease. Pathology International. 2022;72:25–34.

https://doi.org/10.1111/pin.13176

論文目録

I 主論文

Prognostic impact of HNF4 α expression in interstitial lung disease <u>Sawazumi T</u>, Baba T, Iwasawa T, Arai H, Matsumura Mai, Takemura T, Sugiyama M, Sekiya M, Saigusa Y, Ogura T, Inayama Y, Ohashi K, Okudela K, Pathol Int (2022) 72: 25-34 doi:10.1111/pin.13176